

3628
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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

7

Application Number	09/849,777
Filing Date	04 May 2001
First Named Inventor	Rodney J. Kempkes
Art Unit	3628
Examiner Name	Clement B. Graham
Attorney Docket Number	PAT-007

ENCLOSURES (Check all that apply)

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Remarks

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Firm Name	West Corporation		
Signature			
Printed name	Rocco L. Adornato		
Date	27 Jan 05	Reg. No.	40,480

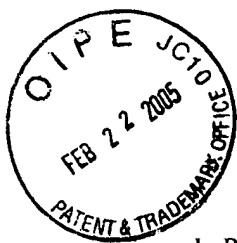
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:) Group Art Unit: 3628
)
Rodney J. Kempkes, et al.) Examiner: Clement B. Graham
)

Serial No.: 09/849,777

Filed: 04 May 2001

Atty. File No.: PAT-007

For: System, Method, and Business Method for Settling Micropayment Transactions to a Pre-Paid Instrument

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
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Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner.

☒ Copies of the cited foreign patents and/or U.S. patents and/or non-patent references are enclosed herewith.

☐ Copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed in accordance with the waiver dated July 11, 2003, whereby patent applications filed after June 30, 2003 and international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003 need not submit copies of the U.S. patents and U.S. patent application publications.

☐ Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____ filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

To the best of the applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

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Michele A. Zarinelli

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Serial No. _____ filed _____ (Atty. Dckt. No. _____)

Serial No. _____ filed _____ (Atty. Dckt. No. _____)

Serial No. _____ filed _____ (Atty. Dckt. No. _____)

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Serial No. _____ filed _____ (Atty. Dckt. No. _____)

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☐ Examiner's attention is directed to the following co-pending application(s), to which the current application claims priority, copies of at least the claims for such pending application are provided or have been provided:

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It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

FEES

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Certification (37 C.F.R. 1.97(e))
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☐ The undersigned certifies that:

☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

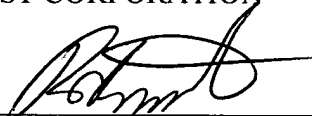
A copy of the communication from the foreign patent office is enclosed.

OR

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,
WEST CORPORATION

Dated: 27 JAN 05

By: 
Rocco L. Adornato
Reg. No. 40,480



29129

PATENT & TRADEMARK OFFICE

WEST CORPORATION
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet		of	2
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Complete if Known

Application Number	09/849,777
Filing Date	04 May 2001
First Named Inventor	Rodney J. Kempkes
Art Unit	3628
Examiner Name	Clement B. Graham
Attorney Docket Number	PAT-007

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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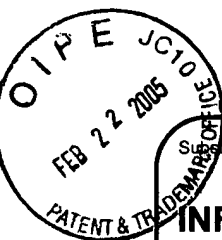
Examiner Signature		Date Considered	
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Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	09/849,777
Filing Date	04 May 2001
First Named Inventor	Rodney J. Kempkes
Art Unit	3628
Examiner Name	Clement B. Graham
Attorney Docket Number	PAT-007
Sheet <u>2</u> of <u>2</u>	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	7	DUOCASH, Enabling Prepaid Phone Cards For Online Payment, Advertising Brochure.	
	8	DUOCASH, Auris Technology Adds DuoCash to Prepaid Offers, Press Release, 10/04/04.	
	9	WMODE.COM, Monetize Small-Value Transactions (Micro Payments), www.wmode.com, 12/17/02.	
	10	ROSEN, CHERYL, The Cashless Cabbie, Informationweek.com, 08/16/01.	
	11	FREEDOMPAY, How FreedomPay Works, www.freedompay.com.	

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